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Docket No.

273999US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Martin SOHN, et al.

SERIAL NO:

: 10/539,802

GAU:

FILED:

June 20, 2005

EXAMINER:

FOR:

METHOD FOR THE CONTINUOUS PRODUCTION OF ISOCYANATES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 10/539,802 272999US0PCT RADEMAR **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Martin SOHN, et al. FILING DATE **GROUP** June 20, 2005 **U.S. PATENT DOCUMENTS** SUB FILING DATE DOCUMENT **EXAMINER CLASS** DATE NAME IF APPROPRIATE **CLASS** INITIAL NUMBER 6 576 788 06/10/03 PENZEL et al. AA 05/23/67 **EWALD** AB 3 321 283 AC 3 226 410 12/28/65 HETTICH et al. 04/30/68 AD 3 381 025 MITSUMORI et al. ΑE 3 544 611 12/01/70 MICHELET et al. 3 574 695 04/13/71 GRANT, Jr. et al. AF 07/06/54 **IRWIN** AG 2 683 160 04/02/74 IRWIN et al. AH 3 801 518 3 912 600 10/14/75 HATFIELD, Jr. et al. ΑI **FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT** COUNTRY DATE NUMBER NO YES EP (equivalent of US 4419295) NO AJ 0 065 727 12/01/82 NO 0 716 079 06/12/96 EP (equivalent of US 5599968) ΑK NO WO (with English abstract) AL 99/54289 10/28/99 NO 09/15/52 AM 844 896 NO DE (equivalent of GB 1238669) AN 1 792 660 03/02/72 NO AO 37 44 001 06/08/89 DE (equivalent of US 5117048) NO 29 50 216 06/26/80 DE (equivalent of US 4289732) AP NO 05/27/92 חח AQ 300 168 NO DD 132 340 09/20/78 AR 1 146 872 04/11/63 DE (equivalent of GB 901377) NO AS FR (equivalent of US 3553997) NO 02/06/70 2 009 527 AT NO DE ΑU 1 175 666 08/13/64 NO 11/23/88 EP ΑV 0 291 819 EP (equivalent of US 6225497) NO ΑW 0 928 785 07/14/99 NO 01/20/70 CA AX832 432 NO 03/25/98 EP (equivalent of US 5931579) AY 0 830 894 NO 1 468 445 02/27/69 DE (equivalent of US 3287387) ΑZ NO AAA 2 058 032 05/31/72 DE NO DE (equivalent of US 3947484) 2 153 268 05/03/73 AAB NO AAC 29 08 703 09/11/80 NO AAD 1 233 854 02/09/67 DE (equivalent of GB 1077031) NO 09/13/56 DE (equivalent of US 3188337) AAE 949 227 Additional References sheet(s) attached **Date Considered** Examiner *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JUL 1 8 2005

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE

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SERIAL NO. 10/539,802

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Martin SOHN, et al.

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	DOCUMENT	DATE	COUNTRY	TRANSLATION	
	NUMBER	DAIL	300,1111	YES	NO
AAF	949 228	09/13/56	DE (equivalent of US 2875225)		NO
AAG	952 086	11/08/56	DE		NO
AAH	958 558	02/21/57	DE		NO
AAI	1 768 439	11/18/71	DE (equivalent of GB 1173890)		NO
AAJ	827 376	02/03/60	GB		NO
AAK	27 47 524	05/03/78	DE (equivalent of US 4096165)		NO
AAL	96/16028	05/30/96	WO (with English abstract & equivalent of US 5925783)		NO
AAM	198 17 691	10/28/99	DE		NO
AAN	37 36 988	03/23/89	DE (equivalent of US 4851570)	-	NO
AAO	2 112 181	10/05/72	DE (equivalent of US 3829458)		NO
AAP	26 24 285	12/22/77	DE (equivalent of US 4128569)		NO
AAQ	0 150 435	08/07/85	EP (equivalent of US 4581174)		NO
AAR	34 03 204	08/14/85	DE (equivalent of US 4581174)		NO
AAS	32 12 510	11/11/82	DE (with English abstract & equivalent of US 4422976 & FR 2503146)		NO
AAT	763 535	12/12/56	GB		NO
AAU	1 811 609	07/10/69	DE (equivalent of US 3781320 & US 3887167)		NO
AAV	1 037 444	08/28/58	DE (equivalent of US 2822373)		NO
AAW	1 034 285	06/29/66	GB		NO
AAX	1 212 249	11/11/70	GB		NO
AAY	57-048954	03/20/82	JP (English abstract only)		NO
 AAZ	60-010774	01/19/85	JP (English abstract only)		NO
BAA	0 570 799	11/24/93	EP (equivalent of US 5449818)		NO
BAB	0 289 840	11/09/88	EP (equivalent of US 4847408)		NO
BAC	1 078 918	02/28/01	EP (equivalent of US 5516935)		NO
BAD	0 749 958	12/27/96	EP (equivalent of US 6063949)		NO
BAE	0 676 392	10/11/95	EP (equivalent of US 5516935)		NO
BAF	1 192 641	05/13/65	DE		NO
BAG	100 27 779	12/13/01	DE		NO
ВАН	2 252 068 /	05/10/73	DE (equivalent of GB 1341311)		NO
BAI	1 196 008	06/24/70	GB		NO
BAJ	33 23 882	04/12/84	DE (equivalent of US 4549991)		NO
BAK	24 04 773	08/21/75	DE (equivalent of US 3978105)		NO
BAL	156 844	12/01/04	DE		NO

Examiner Date Considered

^{*}Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SHEET 3 OF 3

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			APPLICANT			
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	SIEFKEN, Von Werner. "Mono- und Polyisocyanate", Justus Liebigs Annalen der Chemie, vol. 562, pages 6-136 BAM 1948					
	BAN	SCHAUERTE, K. et al. "Isocyanate", 1993	Polyurethane, Kunststoff Handbuch, vol. 7, pages	76-88		
	ВАО	1985	ren", Fortschr. Verf. Technik, vol. 23, pages 373-3			
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	BAR	KONSTANTINOV, I. et al. "Kinetics of Crystals with Phosgene Dissolved in 1976	f the Reactions of 2,4-Tolylene- and 4,4'-Diphenyl Chlorobenzene", Zhurnal Prikladnoi Khimii, vol. 49	methanediamine Dihydrochloride , no. 3, pages 596-599		
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	BAZ					
Examiner				nsidered		
*Examiner: In conformance	itial if re	eference is considered, whether or not t considered. Include copy of this form	t citation is in conformance with MPEP 609; Draw I I with next communication to applicant.	ine through citation if not in		



U.S. PCT Application Serial No.: 10/539,802 Docket No.: <u>272999US0PCT</u>

STATEMENT OF RELEVANCY

		en cited in the Internation	
		nitted herewith only whe	en not automatically
provided by the I	nternational Searching	Authority.	
2) References	have been cite	ed in the corresponding_	Search Report.
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3) References	AB-AI, AL-BAR	are discussed in the s	pecification. A copy
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		l prior art known to Appl	icant. A copy of
these references i	s being submitted herev	vith.	



LIST OF RELATED CASES

Docket Number	Serial or Patent Number	Filing or <u>Issue Date</u>	Patent Appl. <u>Publication No.</u>	Inventor/ Applicant
PER CLIENT	6,576,788	06/10/03		PENZEL, et al.